

# **The Geometrical Approximations for the Rotating Shallow Water Equations**

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**SOLITONS, COLLAPSES AND TURBULENCE:**

Achievements, Developments and Perspectives

## Surface of Earth is oblate spheroid

$$\frac{x^2}{a^2} + \frac{y^2}{a^2} + \frac{z^2}{b^2} = 1, \quad (1)$$

where  $b < a$ .

Eccentricity  $e$

$$e = \frac{\sqrt{a^2 - b^2}}{a}, \quad \alpha = \frac{a - b}{a},$$

$$e^2 \approx 1/150$$

.

## Motion equations in rotating frame $(\lambda, \varphi, r)$

$$\frac{du}{dt} - \frac{uv}{r} \tan \varphi - 2\Omega \sin \varphi v + \frac{1}{\rho \cos \varphi} \frac{\partial p}{\partial \lambda} + \frac{1}{\cos \varphi} \frac{\partial \Phi}{\partial \lambda} = -\frac{uw}{r} - 2\Omega \cos \varphi w, \quad (2)$$

$$\frac{dv}{dt} + \frac{u^2}{r} \tan \varphi + 2\Omega \sin \varphi u + 2\Omega \cos \varphi w + \frac{1}{\rho r} \frac{\partial p}{\partial \varphi} + \frac{1}{r} \frac{\partial \Phi}{\partial \varphi} = -\frac{vw}{r}, \quad (3)$$

$$\frac{dw}{dt} + \frac{1}{\rho} \frac{\partial p}{\partial r} + \frac{\partial \Phi}{\partial r} = \frac{u^2 + v^2}{2r} + 2\Omega \cos \varphi u, \quad (4)$$

Equations of continuity and advection of entropy:

$$\frac{d\rho}{dt} + \frac{\rho}{r \cos \varphi} \left[ \frac{\partial u}{\partial \lambda} + \frac{\partial(v \cos \varphi)}{\partial \varphi} \right] + \rho \frac{\partial w}{\partial r} = -\frac{2w\rho}{r}, \quad (5)$$

$$\frac{ds}{dt} = \frac{\partial s}{\partial t} + \frac{u}{r \cos \varphi} \frac{\partial s}{\partial \lambda} + \frac{v}{r} \frac{\partial s}{\partial \varphi} + w \frac{\partial s}{\partial r} = 0, \quad (6)$$

$$p = p(\rho, s), \quad u = r \cos \varphi \dot{\lambda}, \quad v = r \dot{\varphi}, \quad w = \dot{r}. \quad (7)$$

## Approximations

1. Potential:  $\Phi = \Phi_a - \frac{1}{2}\Omega^2 l^2 \approx gr.$

2. Traditional approximation: rhs=0

3. Shallow Atmosphere & Ocean:  $\frac{1}{r} = \frac{1}{a+(r-a)} \approx \frac{1}{a}$

## Approximation of metric form

Metric form for spherical coordinates

$$ds^2 = r^2 \cos^2 \varphi d\lambda^2 + r^2 d\varphi^2 + dr^2. \quad (8)$$

Near spherical surface

$$r = a, \quad dr = 0. \quad (9)$$

Approximate metric form

$$ds^2 = a^2 \cos^2 \varphi d\lambda^2 + a^2 d\varphi^2. \quad (10)$$

## Riemann manifold

$$ds^2 = (h_1 dq^1)^2 + (h_2 dq^2)^2 + (h_3 dq^3)^2, \quad (11)$$

$$\frac{\partial \mathbf{u}}{\partial t} + \hat{J} \frac{\delta \mathcal{H}}{\delta \mathbf{u}} = 0, \quad (12)$$

$$\begin{aligned} fg &= (f_0 + \varepsilon f_1 + \varepsilon^2 f_2 + \dots)(g_0 + \varepsilon g_1 + \varepsilon^2 g_2 + \dots) = \\ &= f_0 g_0 + \varepsilon(f_0 g_1 + f_1 g_0) + \varepsilon^2(f_2 g_0 + f_1 g_1 + f_0 g_2) + \dots \end{aligned}$$

## Rotating Shallow water equations

$$ds^2 = (h_1 dq^1)^2 + (h_2 dq^2)^2, \quad (13)$$

Lame coefficients

$$h_1 = \sqrt{g_{11}}, \quad h_2 = \sqrt{g_{22}}$$

Physical components of velocity  $\mathbf{u}$

$$u_1 = h_1 \frac{dq^1}{dt} = h_1 \dot{q}^1, \quad u_2 = h_2 \frac{dq^2}{dt} = h_2 \dot{q}^2, \quad (14)$$



## Equations for $u_1, u_2, h$

$$\frac{du_1}{dt} - \left[ f + \frac{1}{h_1 h_2} \left( u_2 \frac{\partial h_2}{\partial q^1} - u_1 \frac{\partial h_1}{\partial q^2} \right) \right] u_2 + \frac{1}{h_1} \frac{\partial gh}{\partial q^1} = 0, \quad (15)$$

$$\frac{du_2}{dt} + \left[ f + \frac{1}{h_1 h_2} \left( u_2 \frac{\partial h_2}{\partial q^1} - u_1 \frac{\partial h_1}{\partial q^2} \right) \right] u_1 + \frac{1}{h_2} \frac{\partial gh}{\partial q^2} = 0, \quad (16)$$

$$\frac{\partial(hD)}{\partial t} + \frac{\partial}{\partial q^1} \left( hD \frac{u_1}{H_1} \right) + \frac{\partial}{\partial q^2} \left( hD \frac{u_2}{H_2} \right) = 0, \quad (17)$$

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \frac{u_1}{h_1} \frac{\partial}{\partial q^1} + \frac{u_2}{h_2} \frac{\partial}{\partial q^2}, \quad (18)$$

where  $D = \det(g_{ij}) = h_1 h_2$ ,  $f = f(q^1, q^2)$  - Coriolis function.

## Equations for $U_1, U_2, h$

Covariant components

$$U_1 = h_1 u_1, \quad U_2 = h_2 u_2. \quad (19)$$

$$\frac{dU_1}{dt} + \frac{U_1^2}{2} \frac{\partial}{\partial q^1} \frac{1}{h_1^2} + U_2^2 \frac{\partial}{\partial q^1} \frac{1}{2h_2^2} - fD \frac{U_2}{h_2^2} + \frac{\partial gh}{\partial q^1} = 0, \quad (20)$$

$$\frac{dU_2}{dt} + \frac{U_1^2}{2} \frac{\partial}{\partial q^2} \frac{1}{h_1^2} + U_2^2 \frac{\partial}{\partial q^2} \frac{1}{2h_2^2} + fD \frac{U_1}{h_1^2} + \frac{\partial gh}{\partial q^2} = 0, \quad (21)$$

$$\frac{\partial(hD)}{\partial t} + \frac{\partial}{\partial q^1} \left( hD \frac{U_1}{h_1^2} \right) + \frac{\partial}{\partial q^2} \left( hD \frac{U_2}{h_2^2} \right) = 0. \quad (22)$$

## Hamiltonian structure

$$\frac{\partial \mathbf{u}}{\partial t} + \hat{J} \frac{\delta \mathcal{H}}{\delta \mathbf{u}} = 0, \quad (23)$$

where Poisson bracket

$$\hat{J}(q^1, q^2; u_1, u_2, h) = \begin{pmatrix} 0 & -\frac{fD+\omega}{hD^2} & \frac{1}{h_1} \frac{\partial}{\partial q^1} \frac{1}{D} \\ \frac{fD+\omega}{hD^2} & 0 & \frac{1}{h_2} \frac{\partial}{\partial q^2} \frac{1}{D} \\ \frac{1}{D} \frac{\partial}{\partial q^1} \frac{1}{h_1} & \frac{1}{D} \frac{\partial}{\partial q^2} \frac{1}{h_2} & 0 \end{pmatrix}, \quad (24)$$

$$\omega = \frac{\partial}{\partial q^1} (h_2 u_2) - \frac{\partial}{\partial q^2} (h_1 u_1)$$

and Hamiltonian

$$\mathcal{H} = \frac{1}{2} \int [u_1^2 + u_2^2 + gh] hD dq^1 dq^2. \quad (25)$$

**For variables**

$$U_1 = h_1 u_1, \quad U_2 = h_2 u_2, \quad H = hD, \quad (26)$$

Poisson bracket

$$\hat{J}(q^1, q^2; U_1, U_2, H) = \begin{pmatrix} 0 & -\frac{fD+\omega}{H} & \frac{\partial}{\partial q^1} \\ \frac{fD+\omega}{H} & 0 & \frac{\partial}{\partial q^2} \\ \frac{\partial}{\partial q^1} & \frac{\partial}{\partial q^2} & 0 \end{pmatrix}, \quad \omega = \frac{\partial U_2}{\partial q^1} - \frac{\partial U_1}{\partial q^2} \quad (27)$$

Hamiltonian

$$\mathcal{H} = \frac{1}{2} \int \left[ \frac{U_1^2}{h_1^2} + \frac{U_2^2}{h_2^2} + \frac{gH}{D} \right] h dq^1 dq^2, .$$

For plane geometry  $h_1 = h_2 = 1$  and Coriolis parameter  $f$  therefore the difference is in term  $fD$ .

## Formal transformation to eliminate $f$

$$V_1 = U_1 - \frac{\partial \xi}{\partial q^1}, \quad V_2 = U_2 - \frac{\partial \xi}{\partial q^2} + F(q^1, q^2), \quad (28)$$

where  $\xi = \xi(q_1, q_2)$  arbitrary function and  $F(q^1, q^2) = \int f(q^1, q^2) D dq^1$

$$\hat{J}(q^1, q^2; V_1, V_2, H) = \begin{pmatrix} 0 & -\frac{\Omega}{H} & \frac{\partial}{\partial q^1} \\ \frac{\Omega}{H} & 0 & \frac{\partial}{\partial q^2} \\ \frac{\partial}{\partial q^1} & \frac{\partial}{\partial q^2} & 0 \end{pmatrix}, \quad \Omega = \frac{\partial V_2}{\partial q^1} - \frac{\partial V_1}{\partial q^2} \quad (29)$$

$$\mathcal{H} = \frac{1}{2} \int \left[ \frac{\left( V_1 + \frac{\partial \xi}{\partial q^1} \right)^2}{h_1^2} + \frac{\left( V_2 + \frac{\partial \xi}{\partial q^2} - F \right)^2}{h_2^2} + \frac{gH}{D} \right] H dq^1 dq^2. \quad (30)$$

## Plane

$$\frac{du}{dt} - fv + g\frac{\partial h}{\partial x} = 0, \quad (31)$$

$$\frac{dv}{dt} + fu + g\frac{\partial h}{\partial y} = 0, \quad (32)$$

$$\frac{\partial h}{\partial t} + \frac{\partial(hu)}{\partial x} + \frac{\partial(hv)}{\partial y} = 0, \quad (33)$$

$$f = f_0, \quad f = f_0 + \beta y$$

## Casimir functional

$$C = \int hC(q)dxdy, \quad q = \frac{1}{h} \left( \frac{\partial v}{\partial x} - \frac{\partial u}{\partial y} + f \right),$$

where  $C(q)$  arbitrary function.

For general Hamiltonian

$$h \frac{\partial C}{\partial t} + \frac{\delta \mathcal{H}}{\delta u} \frac{\partial C}{\partial x} + \frac{\delta \mathcal{H}}{\delta v} \frac{\partial C}{\partial y} = 0 \quad (34)$$

or for RSWE Hamiltonian  $\mathcal{H} = \frac{1}{2} \int [u^2 + v^2 + gh] h dx dy$

$$h \left( \frac{\partial C}{\partial t} + u \frac{\partial C}{\partial x} + v \frac{\partial C}{\partial y} \right) = 0. \quad (35)$$

$C(q)$  is Lagrangian invariant.

## General surface of rotation

Canonical metric form ( $q_1 = \lambda$ )

$$ds^2 = \left( h_1(q^2) dq^1 \right)^2 + (dq^2)^2. \quad (36)$$

**Isothermal** coordinates

$$ds^2 = n^2(q^2) \left( (dq^1)^2 + (dq^2)^2 \right), \quad (37)$$

**Volume-preserving** coordinates:

$$ds^2 = (h_1(q_2) dq_1)^2 + (h_2(q_2) dq_2)^2, \quad h_1 h_2 = 1. \quad (38)$$



$$\frac{du_1}{dt} + \left[ 2\Omega_0 + \frac{u_1}{h_1} \right] \frac{u_2}{h_2} \frac{\partial h_1}{\partial q^2} + \frac{1}{h_1} \frac{\partial(gh)}{\partial q^1} = 0, \quad (39)$$

$$\frac{du_2}{dt} - \left[ 2\Omega_0 + \frac{u_1}{h_1} \right] \frac{u_1}{h_2} \frac{\partial h_1}{\partial q^2} + \frac{1}{h_2} \frac{\partial(gh)}{\partial q^2} = 0, \quad (40)$$

$$\frac{\partial h}{\partial t} + \frac{1}{h_1} \frac{\partial}{\partial q^1} (hu_1) + \frac{1}{h_1 h_2} \frac{\partial}{\partial q^2} (h_1 h u_2) = 0, \quad (41)$$

where  $\Omega_0$  angular velocity. Coriolis parameter

$$f = -2\Omega_0 \frac{1}{h_2} \frac{\partial h_1}{\partial q^2} \quad (42)$$

and the coefficient  $fD$  from Poisson bracket

$$fD = -\Omega_0 \frac{dh_1^2}{dq^2}. \quad (43)$$

## Sphere

Radius  $a$ , longitude  $\lambda$  and latitude  $\varphi$

$$ds^2 = a^2 \cos^2 \varphi d\lambda^2 + a^2 d\varphi^2, \quad f = 2\Omega_0 \sin \varphi \quad (44)$$

**Volume-preserving** coordinates:  $\lambda$  and  $\mu = \sin \varphi$

$$ds^2 = a^2(1 - \mu^2) d\lambda^2 + \frac{a^2}{1 - \mu^2} d\mu^2, \quad f = 2\Omega_0 \mu \quad (45)$$

**Isothermal** coordinates:  $\lambda$  and  $\theta = \operatorname{arctanh} \mu$

$$ds^2 = a^2(1 - \tanh^2 \theta) (d\lambda^2 + d\theta^2), \quad f = 2\Omega_0 \tanh \theta \quad (46)$$

## Spheroid

Radius  $a$ , longitude  $\lambda$  and reduced latitude  $\psi$

$$ds^2 = a^2 \cos^2 \psi d\lambda^2 + a^2(1 - e^2 \cos^2 \psi) d\psi^2, \quad (47)$$

$$f = 2\Omega_0 \frac{\sin \psi}{\sqrt{1 - e^2 \cos^2 \psi}}, \quad (48)$$

$$fD = \Omega_0 \sin(2\psi). \quad (49)$$

## From Spheroid to Sphere

$$\varepsilon(e, \psi) = \frac{1}{\sqrt{1 - e^2 \cos^2 \psi}} - 1 = \frac{1}{2}e^2 \cos^2 \psi + O(e^4 \cos^4 \psi). \quad (50)$$

$$ds^2 = a^2 \cos^2 \psi d\lambda^2 + \frac{a^2}{(1 + \varepsilon)^2} d\psi^2. \quad (51)$$

$$\frac{du}{dt} - (1 + \varepsilon) \left[ 2\Omega_0 + \frac{1}{a \cos \psi} u \right] v \sin \psi + \frac{g}{a \cos \psi} \frac{\partial h}{\partial \lambda} = 0, \quad (52)$$

$$\frac{dv}{dt} + (1 + \varepsilon) \left[ 2\Omega_0 + \frac{1}{a \cos \psi} u \right] u \sin \psi + (1 + \varepsilon) \frac{g}{a} \frac{\partial h}{\partial \psi} = 0, \quad (53)$$

$$\frac{\partial h}{\partial t} + \frac{1}{a \cos \psi} \frac{\partial}{\partial \lambda} (hu) + (1 + \varepsilon) \frac{1}{a \cos \psi} \frac{\partial}{\partial \psi} (hv \cos \psi) = 0, \quad (54)$$

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \frac{u}{a \cos \psi} \frac{\partial}{\partial \lambda} + (1 + \varepsilon) \frac{v}{a} \frac{\partial}{\partial \psi}. \quad (55)$$

$$\mathcal{H} = \frac{1}{2} \int [u^2 + v^2 + gh] h \frac{a^2}{1 + \varepsilon} \cos \psi d\lambda d\psi.$$

## Hamiltonian expansion

$$U = u a \cos \psi, \quad V = v \frac{a}{1 + \varepsilon}, \quad H = h \frac{a^2}{1 + \varepsilon} \cos \psi, \quad (56)$$

$$\hat{J}(\lambda, \psi; U, V, H) = \begin{pmatrix} 0 & -q & \frac{\partial}{\partial \lambda} \\ q & 0 & \frac{\partial}{\partial \psi} \\ \frac{\partial}{\partial \lambda} & \frac{\partial}{\partial \psi} & 0 \end{pmatrix}, \quad (57)$$

where the potential vorticity

$$q = \frac{1}{H} \left( \Omega_0 \sin(2\psi) + \frac{\partial V}{\partial \lambda} - \frac{\partial U}{\partial \psi} \right).$$

Hamiltonian

$$\mathcal{H} = \frac{1}{2a^2} \int \left[ U^2 \frac{1}{\cos^2 \psi} + V^2 (1 + \varepsilon)^2 + gH \frac{1 + \varepsilon}{\cos \psi} \right] H d\lambda d\psi$$

## From Sphere to $f$ -plane

Introduce new variable  $y$  such that  $\varphi(0) = \varphi_0$ .

$$ds^2 = a^2 \cos^2 \varphi d\lambda^2 + a^2 (\varphi')^2 dy^2, \quad \varphi' = \frac{d\varphi}{dy}. \quad (58)$$

Coriolis parameter

$$f = 2\Omega_0 \sin \varphi(y). \quad (59)$$

Our Aim is to get a constant for  $fD$

$$fD = -\Omega_0 \frac{d[a^2 \cos^2 \varphi(y)]}{dy} = f_0 D_0 \neq 0, \quad (60)$$

where  $f_0 = f(\varphi_0)$  and  $D_0 = D(\varphi_0)$ .

$$\cos^2 \varphi = \cos^2 \varphi_0 - c_0 y, \quad c_0 = \frac{f_0 D_0}{a^2 \Omega_0}. \quad (61)$$

Solution

$$\varphi = \frac{\pi}{2} - \frac{1}{2} \arccos (c_0 y - \cos 2\varphi_0) \quad (62)$$

$$ds^2 = a^2 (\cos^2 \varphi_0 - c_0 y) d\lambda^2 + \frac{a^2 c_0^2}{4(\cos^2 \varphi_0 - c_0 y)(\sin^2 \varphi_0 + c_0 y)} dy^2. \quad (63)$$



$$U = ua\sqrt{\cos^2 \varphi_0 - y}, \quad V = v \frac{a}{2\sqrt{(\cos^2 \varphi_0 - y)(\sin^2 \varphi_0 + y)}}, \quad (64)$$

$$H = h \frac{a^2}{2\sqrt{\sin^2 \varphi_0 + y}} \quad (65)$$

Poisson bracket is identical to  $f$ -plane model

$$\hat{J}(\lambda, y; U, V, H) = \begin{pmatrix} 0 & -q & \frac{\partial}{\partial \lambda} \\ q & 0 & \frac{\partial}{\partial y} \\ \frac{\partial}{\partial \lambda} & \frac{\partial}{\partial y} & 0 \end{pmatrix}, \quad (66)$$

$$q = \frac{1}{H} \left( \Omega_0 a^2 + \frac{\partial V}{\partial \lambda} - \frac{\partial U}{\partial y} \right).$$

Гамильтониан системы есть

$$\mathcal{H} = \frac{1}{2a^2} \int \left[ \frac{U^2}{\cos^2 \varphi_0 - y} + 4V^2(\cos^2 \varphi_0 - y)(\sin^2 \varphi_0 + y) \right] H d\lambda dy +$$

$$+ \frac{1}{a^2} \int gH \sqrt{\sin^2 \varphi_0 + y} H d\lambda dy.$$

Expansion for small  $y$

$$\mathcal{H}_0 = \frac{1}{2a^2} \int \left[ \frac{U^2}{\cos^2 \varphi_0} + 4V^2 \cos^2 \varphi_0 \sin^2 \varphi_0 + 2gH \sin \varphi_0 \right] H d\lambda dy,$$

(67)

$$\mathcal{H}_1 = \frac{1}{2a^2} \int y \left[ \frac{U^2}{\cos^4 \varphi_0} + 4V^2(\cos^2 \varphi_0 - \sin^2 \varphi_0) + \frac{gH}{\sin \varphi_0} \right] H d\lambda dy.$$

(68)

## From Sphere to $\beta$ -plane

Introduce dimensionless variables

$$l = (a/L)\lambda, \quad m = (a/L)\mu$$

, where  $L$  characteristic scale

$$ds^2 = L^2 \left(1 - \frac{L^2 m^2}{a^2}\right) dl^2 + L^2 \left(1 - \frac{L^2 m^2}{a^2}\right)^{-1} dm^2. \quad (69)$$

$$U = uL \sqrt{1 - \frac{L^2 m^2}{a^2}}, \quad V = \frac{vL}{\sqrt{1 - \frac{L^2 m^2}{a^2}}}, \quad (70)$$

Hamiltonian system

$$L \frac{\partial}{\partial t} \begin{pmatrix} U \\ V \\ \Phi \end{pmatrix} + \begin{pmatrix} 0 & -q & \frac{\partial}{\partial l} \\ q & 0 & \frac{\partial}{\partial m} \\ \frac{\partial}{\partial l} & \frac{\partial}{\partial m} & 0 \end{pmatrix} \begin{pmatrix} \frac{\delta \mathcal{H}}{\delta U} \\ \frac{\delta \mathcal{H}}{\delta V} \\ \frac{\delta \mathcal{H}}{\delta h} \end{pmatrix} = 0, \quad (71)$$

where potential vorticity

$$q = \frac{1}{h} \left( 2\Omega_0 L m \frac{L}{a} + \frac{\partial V}{\partial l} - \frac{\partial U}{\partial m} \right).$$

and Hamiltonian

$$\mathcal{H} = \frac{1}{2} \int \left[ U^2 \left( 1 - \frac{L^2 m^2}{a^2} \right)^{-1} + V^2 \left( 1 - \frac{L^2 m^2}{a^2} \right) + ghL^2 \right] h \, dl \, dm.$$

Approximations may be obtained by expansion by small parameter  $L/a$  of the Hamiltonian  $\mathcal{H}$

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1,$$

where

$$\mathcal{H}_0 = \frac{1}{2} \int [U^2 + V^2 + ghL^2] h \, dl dm,$$

$$\mathcal{H}_1 = \frac{1}{2} \int \frac{L^2 m^2}{a^2} [U^2 - V^2] h \, dl dm.$$

## **Applications:**

1. Numerics: conservation of Casimir functionals
2. Shallow flows over complex surfaces